

PATENT APPLICATION

THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re United States Patent Application of: | |) | Customo |
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Applicants:

Christoph Wagener, et al.

Serial No.:

09/831,794

Date Filed:

August 3, 2001

Title:

INFLUENCING ANGIOGENESIS USING

CD66a

er No.:

23448

Confirmation

9609

No.:

Atty. Docket No.:

4121-124

Examiner:

L.R. Helms

Group Art Unit:

1642

EXPRESS MAIL CERTIFICATE

I hereby certify that I am mailing the attached documents to the Commissioner for Patents on the date specified, in an envelope addressed to the Mail Stop Non-Fee Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 and Express Mailed under the provisions of 37 CFR 1.10.

Candace White

November 21, 2003

Date

EV204080883US

Express Mail Label Number

DECLARATION ATTESTING TO DEPOSIT OF BIOLOGICAL MATERIAL, IN COMPLIANCE WITH REQUIREMENTS OF 37 CFR §1.801-1.809, FOR U.S. PATENT APPLICATION NO. 09/831,794

Commissioner for Patents Mail Stop Non-Fee Amendment P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, Christoph Wagener as a co-inventor of the above-identified application hereby declare:

- 1. THAT attached as Exhibit 1 hereof is a true and exact copy of International Form DSMZ-BP/9 issued by DSMZ-Deutsche Sammlung von Mikroorganismen und Zellkulturen GmbH, Mascheroder Weg 1b, D-38124 Braunschweig, Germany, an International Depository under the Budapest Treaty on the International Recognition of the Deposit of Microorganisms for the Purposes of Patent Procedure, such Exhibit 1 comprising RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITORY AUTHORITY (first page) and a VIABILITY STATEMENT issued pursuant to Rule 10.2 by the INTERNATIONAL DEPOSITORY AUTHORITY ("Depository Receipt" and "Viability Statement," respectively).
- 2. THAT the Depository Receipts identify the following deposits:
 - (1) anti-CD66a 4D1/C2, with its accession number DSM ACC237 and the deposit date of October 22, 1998;
- 3. THAT the Viability Statements attest to the viability of the deposits under the following accession numbers:
 - (1) DSM ACC237 on October 22, 1998;
- 4. THAT the deposit of anti-CD66a 4D1/C2 of the above-identified application, was made under the provisions of the Budapest Treaty, by the Deutsche Sammlund von Mikroorganismen und Zellkelturen GmbH as an International Depository Authority under the provisions of the Budapest Treaty, that all restrictions on public access to the deposit will be irrevocably removed on the grant of a patent on this application, that the deposit will be replaced if viable samples cannot be dispensed by the Depository, and specifically further that (a) during the pendency of this application, access to the deposit will be afforded to the Commissioner upon request, (b) all restrictions upon the availability to the public of the deposited biological material will be irrevocably removed upon granting of a patent on this application, (c) the deposit will be maintained in a public depository for a period of at least thirty (30) years from the date of deposit or for the enforceable life of the patent or for a period of five (5) years after the date of the most recent request for the furnishing of a sample of the deposited biological material, whichever is longest, and (d) the deposit will be replaced if it should become nonviable or non-replicable.

5. THAT the deposited materials specified in the Depository Receipts and Viability Statements are the same as the material identified in the above-identified application, and that the deposited material was in the applicants' possession at the time the above-identified application was filed.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statement may jeopardize the validity of the application or any patent issued thereon.

Christoph Wagener, Pt.D. 140.

BUDAPEST TREATY ON THE INTERNATIONAL RECOGNITION OF THE DEPOSIT OF MICROORGANISMS FOR THE PURPOSES OF PATENT PROCEDURE

INTERNATIONAL FORM

Universitäts-Krankenhaus Eppendorf Abt. für klinische Chemie Martinistr. 52

20246 Hamburg

RECEIPT IN THE CASE OF AN ORIGINAL DEPOSIT issued pursuant to Rule 7.1 by the INTERNATIONAL DEPOSITARY AUTHORITY identified at the bottom of this page

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|---|--|--|--|--|--|
| I. IDENTI | IFICATION OF THE MICROORGANISM | | | | |
| Identificat | cion reference given by the DEPOSITOR: | Accession number given by the INTERNATIONAL DEPOSITARY AUTHORITY: DSM ACC2371 | | | |
| II. SCIEN | II. SCIENTIFIC DESCRIPTION AND/OR PROPOSED TAXONOMIC DESIGNATION | | | | |
| The micro | organism identified under I. above was accompanied by: | | | | |
| (Mark with | a scientific description a proposed taxonomic designation a cross where applicable). | | | | |
| III. RECEI | IPT AND ACCEPTANCE | | | | |
| | national Depositary Authority accepts the microorganism identified ne original deposit). | under I. above, which was received by it on 1998-10-22 | | | |
| IV. RECEI | IPT OF REQUEST FOR CONVERSION | | | | |
| The microcand a requirement for convers | organism identified under I above was received by this International est to convert the original deposit to a deposit under the Budapest sion). | I Depositary Authority on (date of original deposit) Treaty was received by it on (date of receipt of request | | | |
| V. INTERI | NATIONAL DEPOSITARY AUTHORITY | | | | |
| Name: | DSMZ-DEUTSCHE SAMMLUNG VON MIKROORGANISMEN UND ZELLKULTUREN GmbH | Signature(s) of person(s) having the power to represent the International Depositary Authority or of authorized official(s): | | | |
| Address: | Mascheroder Weg 1b D-38124 Braunschweig | Date: 1998-11-05 | | | |

Form DSMZ-BP/4 (sole page) 0196

Where Rule 6.4 (d) applies, such date is the date on which the status of international depositary authority was acquired.